



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No.: 01-1693-O)

PATENT

1614

In re Application of:

Beck et al.

Serial No. 09/896,139

Filed: June 29, 2001

For: Compounds to Treat Alzheimer's Disease

Before the Examiner:

Art Unit: 1614

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ORIGINALLY FILED

Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

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TECH CENTER 1600/2900

In regard to the above identified application:

1. We are transmitting herewith the attached:

- Revocation of Prior Powers of Attorney;
- Copy Assignment submitted to USPTO; and
- Postcard.

2. With respect to additional fees:

☒ A. No fee necessary at this time.

B. Charge the total additional fee to our Deposit Account No. 13-2490

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 herein-above, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 23rd day of April 2002.

By:

Steven J. Sarussi
Reg. No. 32,784



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-1693-O)

In re Application of:)
)
James P. Beck et al.)
) Group Art Unit: 1614
Serial No.: 09/896,139)
) Examiner: not assigned
Filed: June 29, 2001)
)
For: Compounds to Treat Alzheimer's Disease)

Asst. Commissioner for Patents
Washington, DC 20231

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POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

☒ application

☐ patent

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

The undersigned hereby appoints all of the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and directs that all correspondence be addressed to that Customer Number:

Customer Number: **020306**

Principal attorney or agent: Steven J. Sarussi

Telephone number: 312-913-0001

Assignees of Entire Interest:

Name: Elan Pharmaceuticals, Inc.

Address: 800 Gateway Blvd., South San Francisco, CA 94080

Name: Pharmacia & Upjohn Company
Address: 301 Henrietta Street, Kalamazoo, MI 49007

EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE

☐ Recorded in PTO on _____

Reel _____

Frame _____

☒ A copy is enclosed herewith (previously submitted for recording to the United States Patent and Trademark Office).

ASSIGNEE CERTIFICATION

In accordance with 37 C.F.R. § 3.73 the assignees hereby certify that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignees' knowledge and belief, title is in the assignee seeking to take this action.

Date: _____


Signature

Name: Jean M. Duvall

Title: Elan Pharmaceuticals, Inc.

Vice-President Intellectual Property

Date: 4/22/02



Signature

Name: STEPHEN L. NESBITT

Title: ASSISTANT GENERAL COUNSEL & DIRECTOR



PATENT

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(Case No. 01-1693-O)

In re Application of:)
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Serial No.: 09/896,139) Group Art Unit: 1614
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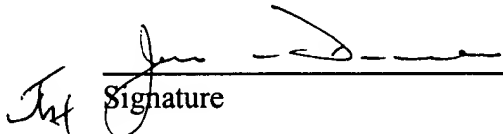
Frame _____

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In accordance with 37 C.F.R. § 3.73 the assignees hereby certify that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignees' knowledge and belief, title is in the assignee seeking to take this action.

Date: 4-16-02



Signature

Name: Jean M. Duvall

Title: Elan Pharmaceuticals, Inc.

Vice President, Intellectual Property

Date: _____

Signature

Name:

Title:



RECORDATION FORM COVER SHEET PATENTS ONLY

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ORIGINALLY FILED

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lawrence Y. Fang Michel Maillard
Andrea Gailunas
Roy Hom
Barbara Jagodzinska
Varghese John

2. Name and address of receiving party(ies):

Elan Pharmaceuticals, Inc.
800 Gateway Blvd.
South San Francisco, CA 94080

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: October 24, 2001; October 29, 2001; November 6, 2001

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: TECH CENTER 1600/2900

A. Patent Application No.(s)

09/896,139

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anna M. Nelson
Address: Merchant & Gould P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903



6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anna M. Nelson

Name of Person Signing

Anna M. Nelson
Signature

December 17, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3

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Mail documents to be recorded with required cover sheet information to:

Box Assignments
Director - U.S. Patent and Trademark Office
Washington, D.C. 20231

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ASSIGNMENT

WHEREAS, we, Lawrence Y. Fang, residing at 1193 Beach Park Blvd., Foster City, CA 94404, Andrea Gailunas, residing at 1080 Eddy Street, #501, San Francisco, CA 94109, Roy Hom, residing at 82 Ina Court, San Francisco, CA 94112, Barbara Jagodzinska, residing at 1485 Kentfield Ave., Redwood City, CA 94061, Varghese John, residing at 1722 18th Avenue, San Francisco, CA 94112 and Michael Maillard, residing at 291 Shorebird Circle, Redwood Shores, CA 94064, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on June 29, 2001, application serial No. 09/896,139 which is entitled Compounds to Treat Alzheimer's Disease.

AND WHEREAS, Elan Pharmaceuticals, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 800 Gateway Blvd., South San Francisco, CA 94080 USA (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for good and sufficient consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to

said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day of

_____, 2001.

Lawrence Y. Fang 11-6-01
Lawrence Y. Fang

Andrea Gailunas 10-29-01
Andrea Gailunas

Roy Horn 6 Nov. 2001
Roy Horn

Barbara Jagodzinska 10-29-01
Barbara Jagodzinska

Varghese John 10/29/01
Varghese John

Michael Maillard 10/24/01
Michael Maillard



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Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

In re Application of: Beck et al.
For: COMPOUNDS TO TREAT ALZHEIMER'S DISEASE
Serial No.: 09/896,139
Docket No.: 13615.25USU4
Filed: June 29, 2001

Date Mailed: December 17, 2001

Recordation Cover Sheet
Assignment
Check for \$40.00 to cover Recordation Fee

Patent

AMN/vh